

PUBLICATION OF "FINANCIAL CONFLICT OF INTEREST – OBJECTIVITY IN RESEARCH: INSTITUTIONAL POLICY REVIEW"

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National Institutes of Health (NIH)

The National Institutes of Health (NIH) is pleased to announce the publication of "Financial Conflict of Interest: Objectivity in Research - NIH Review of Institutional Conflict of Interest Policies" ([http://grants.nih.gov/grants/policy/coi/nih\\_review.htm](http://grants.nih.gov/grants/policy/coi/nih_review.htm)). The document is available on the Conflict of Interest website (<http://grants.nih.gov/grants/policy/coi/index.htm>), which is linked to the Office of Extramural Research (OER) homepage (<http://grants.nih.gov/grants/OER.htm>) under Grants Topics/Grants Policy and Guidance (<http://grants.nih.gov/grants/policy/policy.htm>).

During 2002, the National Institutes of Health requested 300 institutions to provide a copy of their policy on financial conflict of interest as described in Title 42 Code of Federal Regulations (CFR) Part 50, Subpart F, "Responsibility of Applicants for Promoting Objectivity in Research for Which PHS Funding is Sought." (For NIH contractors, the corollary regulation is Title 45 CFR Part 94, Responsible Prospective Contractors.) The purpose of the review was to allow the NIH the opportunity to: (1) develop a broad view of how these policies are implemented by an array of institutions; and (2) provide a reasonable assessment of compliance with the provisions of the PHS regulation. The information in this document is based on the review of a representative sample of over 100 policies from a mix of institutions consisting of public and private academic institutions, public and private research institutions, hospitals, and large and small for-profit organizations.

The document is organized in three sections: (1) Findings; (2) Helpful Suggestions and Areas of Concern; and (3) Other Issues to Consider. The first section provides specific information related to the review of the policies. The second section provides helpful suggestions for consideration when developing a financial conflict of interest policy and areas of concern that were noted during the review. The third section provides additional issues for consideration when revising or enhancing current financial conflict of interest policies with the intent of improving compliance with Federal regulations.

NIH is making this document available to the broader biomedical research community as an educational tool to promote awareness of and compliance with Federal financial conflict of interest regulations. For additional resources related to financial conflict of interest, please visit the following links:

OER Conflict of Interest page: <http://grants.nih.gov/grants/policy/coi>

NIH Grants Policy Statement (3/01), Financial Conflict of Interest:  
[http://grants.nih.gov/grants/policy/nihgps\\_2001/part\\_ia\\_1.htm](http://grants.nih.gov/grants/policy/nihgps_2001/part_ia_1.htm) - [Toc504811782](#)

Proactive Compliance Site Visits FY 2000-FY 2002: A Compendium of Findings and Observations: [http://grants.nih.gov/grants/compliance/compendium\\_2002.htm](http://grants.nih.gov/grants/compliance/compendium_2002.htm)

#### INQUIRIES

Questions about financial conflict of interest may be directed to the Division of Grants Compliance and Oversight staff at (301) 435-0949.